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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/007,619	11/07/2001	Arun Kumar	IMRS-364	4188

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LAW OFFICE OF BARRY R LIPSITZ
755 MAIN STREET
MONROE, CT 06468

EXAMINER

NGUYEN, CAM LINH T

ART UNIT	PAPER NUMBER
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2161

DATE MAILED: 01/03/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/007,619

Applicant(s)

KUMAR ET AL.

Examiner

CamLinh Nguyen

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 27 August 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-11 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Response to Amendment

1. Applicant's responsive to the claims 1 – 11 are acknowledged. Consequently, claims 1 – 11 are currently pending in this application.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

3. Claims 5 – 6 are rejected under 35 U.S.C. 102(a) as being anticipated by Rassen et al (U.S. 6,189,004).

♦ As per claim 5,

Rassen et al (U.S. 6,189,004) discloses a query for use by a database manager in extracting information from a relational database, comprising:

- "A hub table and a plurality of dimension tables" See col. 9 lines 50 – 56, Rassen. As defined in the disclosure in page 8, the "hub table" includes the more dynamic data, and so is the primary table used in responding to a query. The hub table is called a fact table. Rassen also teaches that the fact table is the central table of a star schema (col. 5, lines 49 – 51). Therefore, the "hub table" corresponds to the "fact table" in Rassen.
- "Each dimension table including a plurality of records each of which includes a plurality of fields, each dimension table related to the hub table by a key field" See col. 5 lines 54 – 59, fig. 3b, table 306, Rassen.

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- “The query comprising a select clause in which a field is selected from one of the dimension tables using an alias”, and “An alias table”. See col. 41, Rassen.

◆ As per claim 6,

In col. 41, Rassen teaches a method of creating the table alias that includes “Order, Date, Customer”. These fields are included in the fact table; therefore, the alias is the key field relating the dimension table to the hub table.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

6. Claims 1 – 4, 7 - 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rassen et al (U.S. 6,189,004) in view of Cochrane et al (U.S. 6,532,470).

◆ As per claim 1, 7, 10

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Rassen et al (U.S. 6,189,004) discloses a method for use by a database manager in extracting information from a relational database, comprising all components claimed in claims 5. Rassen does not clearly disclose the step of “examining the joining query and providing an aliasing list”.

However, referring to col. 8 lines 49 - 53, Rassen teaches that the query/reporting information 169 includes filters and form definitions, allowing user to filter different fields, and to indicate which fields a user is particularly interested in. Clearly, the query must be examined in order for the system to select information.

In addition, another example to support the limitation above is provided by Cochrane (U.S. 6,532,470). In Fig. 6, element 176, Cochrane teaches that the query from the user is parsed. Therefore, the query must be examined. Further Cochrane discloses:

- “Providing an alias table” See col.8 lines 43 – 53, col. 17 lines 1 – 10, Cochrane.
- Transforming the joining query into a reduced query” See col. 13 lines 30 – 50, Cochrane.

It would have been obvious to one with ordinary skill in the art at the time the invention was made to apply the teaching of Cochrane into the system of Rassen, because the combination would provided more accuracy of the query and to make sure that the referenced tables exist (col. 12 lines 20 – 25, Cochrane).

♦ As per claim 2 - 3, 8 – 9, 11,

- “An alias table is created” see col. 17 lines 1 – 10, Cochrane.
- “Providing a final response to the query ... by replacing ... the alias values with the aliased field values using the alias table” See col. 41, Rassen.

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◆ As per claim 4,

- “A computer readable medium comprising instructions” See col. 6 line 28 – 43, Rassen, col. 5 lines 48 – 62, Cochrane.

Response to Arguments

7. Applicant's arguments filed 08/27/04 have been fully considered but they are not persuasive.

Applicant argues that the Rassen reference fails to disclose an alias table for the at least one field in the aliasing list. The Examiner respectfully disagrees.

Referring to col. 41, wherein Rassen discloses a query log (col. 36, lines 60 – 61) that creating an aliases table, and the join alias, Rassen clearly disclose an alias table for the at least one field in the aliasing list. When the join query is executed, the system must transform the join query into a reduce query in which the aliases field values are replaced by the alias values as seen in col. 41.

Conclusion

8. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

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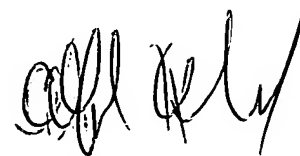
CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to CamLinh Nguyen whose telephone number is (571) 272-4024. The examiner can normally be reached on Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Safet Metjahic can be reached on (571) 272-4023. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 305-3900.

LN



**ALFORD KINDRED
PRIMARY EXAMINER**